11 June 2008

Paul S. Caruso 108 Star Lane Key West, FL 33040

RE: Public Records Request Letter of 03 June 2008

Dear Mr. Caruso

In response to your request for additional information regarding the application for the Ethics Letter issued by the U.S. Navy, please find enclosed a copy of the form submitted to Commander, Navy Region Southeast. This is all that I have in my personal records.

Sincerely,

J. K. Scholl

U.S. HAVY ACTIVE DUTY. COMMANDING OFFICER, MAS KEY WEST.

11.S. HAVY CIVILIAN . RANGE SYSTEMS MANAGER, MAYSER CORMAN DIV. KEY MEST.

POST-GOVERNMENT SERVICE ETHICS QUESTIONNAIRE

The purpose of this questionnaire is to give your ethics counselor information needed to write an ethics opinion on the applicability of the procurement integrity law, 41 U.S.C. § 423. Your ethics counselor will also use this information to advise you on other post-Government employment restrictions.

41 U.S.C. § 423 provides for written ethics advice concerning its post-Government employment restrictions. However, if the information provided is incomplete or false, or if you fail to follow your ethics counselor's advice, you cannot rely on this opinion as a defense to any civil or criminal action.

Ethics advice is based on the particular situation at a specific time. As circumstances change, the advice originally provided may no longer be accurate. In such a case, you may want to request a new ethics opinion.

Print legibly in ink. Spell out acronyms or abbreviations the first time they are used. Use continuation sheets as required.

SUBMIT REQUEST TO THE ETHICS COUNSELOR WHERE YOU WERE LAST ASSIGNED BEFORE LEAVING GOVERNMENT SERVICE

PRIVACY ACT STATEMENT

<u>AUTHORITY:</u> PRIVACY ACT OF 1974 (5 U.S.C. § 552(A)(7)), 41 U.S.C. § 423, 5 C.F.R. § 2635.602, AR 340-21.

<u>PRINCIPAL PURPOSE:</u> TO ENABLE ETHICS COUNSELORS TO RENDER ADVICE TO MILITARY AND CIVILIAN EMPLOYEES LEAVING GOVERNMENT SERVICE.

ROUTINE USE: INFORMATION PROVIDED IS NOT CONFIDENTIAL. THE ETHICS COUNSELOR IS THE GOVERNMENT'S REPRESENTATIVE. THERE IS NO ATTORNEY- CLIENT RELATIONSHIP ESTABLISHED BETWEEN THE ETHICS COUNSELOR MAY NOT ACT AS AN ATTORNEY ON BEHALF OF ANYONE SUBMITTING THIS INFORMATION. THE INFORMATION WILL BE USED FOR PROVIDING WRITTEN ETHICS ADVICE. IT WILL BE RETAINED FOR SIX YEARS AND WILL BE AVAILABLE TO ETHICS COUNSELORS, FINANCE PERSONNEL, AND OTHER APPROPRIATE PERSONNEL RESPONSIBLE FOR COMPLIANCE WITH POST-GOVERNMENT EMPLOYMENT RESTRICTIONS.

<u>DISCLOSURE:</u> VOLUNTARY. NO CRIMINAL, CIVIL OR OTHER PENALTIES WILL FOLLOW FROM REFUSAL TO PROVIDE REQUESTED INFORMATION. HOWEVER, FAILURE TO FULLY DISCLOSE INFORMATION REQUESTED COULD RESULT IN

INCOMPLETE ADVICE OR THE INABILITY TO PROVIDE WRITTEN ETHICS ADVICE PURSUANT TO 41 U.S.C. § 423 or 5 C.F.R. § 2635.602.

NOTE: THERE IS NO ATTORNEY-CLIENT RELATIONSHIP OR ATTORNEY-CLIENT PRIVILEGE CREATED BETWEEN YOU AND THE ETHICS COUNSELOR. INFORMATION PROVIDED ON THIS FORM OR TO THE ETHICS COUNSELOR IS NOT CONFIDENTIAL OR PRIVILEGED.

Section I.

PRIOR ETHICS ADVICE

Have you received any oral ethics advice or other written ethics opinions f Ethics Counselor, within or without DOD, concerning your job search or p employment?	rom any (rospectiv	Gover e	nment
YES	<u>X</u> 1	NO _	<u>×_</u>
If "YES" Provide Details ORAL - BASIC 1 AND 2 YEAR + LIFTIME PROVIDITIONS			
WRITTEN - NO			-
Section II.			
BASIC INFORMATION			
1. Name (Last/First/MI): SCHOLL, JAMES K			
2. Office Phone: ()Address			
Home Phone /\ddress_			
Address to which you want your written ethics opinion sent: Home X	Office		
3. Grade or Rank: SES GS/GM MILITARY O			
4. Retirement/REFRAD/Resignation Date: 01 Nov 2006 Terminal Leave Date: 2006			

5. In The Last Two Years Have You Filed an OGE Form 450, "CONFIDENTIAL FINANCIAL DISCLOSURE REPORT"?
YES X NO
5. (cont'd) If "YES", for which job(s) did you file the OGE Form 450?
COMMANDING OFFICER, NAVAL AIR STATION KEY WEST
5. Are you required to file a SF 278, "EXECUTIVE BRANCH PERSONNEL PUBLIC FINANCIAL DISCLOSURE REPORT"?
YES NO X
f "YES", you must file a termination report not earlier than 15 days before, and not later than 30 lays after your termination date.
In the last two years, have you issued a written notice of disqualification, changed jobs, had our duties changed, or taken any other action to resolve a potential conflict of interest? YES NO
f "YES", provide details
RETIRED FROM ACTIVE DUTY. EMPLOYED 07 AUG 2006 TO D8-TVLY 2007 WITH NAVAL SEASYSTEM COMMAND, CORONA DIV AS RANGE SYSTEMS MANAGER, NAS KEY WEST TACTICAL COMBAT TRAINING RANGE
In what agencies or departments, down to branch level, have you served during the last two
COMMANDER, NAVY THETHURTONS COMMANDER, NAVY THETHURTONS COMMAND, COMMANDER, NAVY THETHURTONS COMMANDER, NAVAL AIR STATION KEY WEST (COMMANDING OFFICER)
MANY REGION SOUTHEAST, NAVAL AIR STATION KEY WEST (COMMANDING OFFICER)
CHONA DIVISION, MANAL SEA SYSTEMS COMMAND, MANALY SEA SYSTEMS COMMAND CORONA DIVISION, MANAL AIR STATION KEY WEST TACTS (MANSEA CORONA FT-27) RANCE SYSTEMS MANALER.
Attach your support form or other job description and briefly describe your major duties uring the last two years of DOD service, focusing on duties relating to defense contracts, any spect of the acquisition process, such as requirements development, acting as program manager, eputy program manager or contracting officer, or otherwise involved in the contracting process. dentify names of projects, programs, contractors and subcontractors). Use additional sheets if equired.
COMMANDING OFFICER - NAVAL AIR STATION KEY WEST - MAJOR SHORE
INSTRUCTION: NO MATOR DEPENSE CONTRACTING AUTHORITY
RANGE SYSTEMS MANAGER, KEY WEST TACTS: PERFORMEND PERIODIC REVIEW
OF SUPPORT CONTRACTOR, NORTHER GRUMMAN INFORMATION TECH. FOR PERFORMANCE ANNED BURING EXISTING CONTRACT EXECUTION
0. With whom are you seeking employment?
CITY MANAGER CITY OF KEY WEST, FL

11. What actions have you taken concerning your future employment. SELECTED TOO EMPLOYMENT AS CITY MANAGER, NAS	
12. What is your proposed job title/description/duties? (You may a	
13. Is This Company an USAMC Contractor?	YESNOX
14. Expected Date Of Future Employment 02 5007	
Section III.	
QUESTIONS RELATING TO PROCUREMEN	IT INTEGRITY
1. Within the last year, did you have any of the following responsib	ilities:
A. PROGRAM MANAGER FOR A CONTRACT OVER	\$10,000,000 YES NO _X
B. DEPUTY PROGRAM MANAGER FOR A CONTRACT	T OVER \$10,000,000 YES NO _X
C. ADMINISTRATIVE CONTRACTING OFFICER FOR OVER \$10,000,000	A CONTRACT YES NO _X
D. MEMBER OF A SOURCE SELECTION EVALUATION GROUP THAT EVALUATED BIDS OR PROPOSALS FOR A CO	
OVER \$10,000,000	YES NO ×
E. CHIEF OF A FINANCIAL OR TECHNICAL EVALUA A CONTRACT OVER \$10,000,0000	TION TEAM FOR 'YESNO_X_
F. PROCURING CONTRACTING OFFICER OR SOURCE AUTHORITY FOR A CONTRACT OVER \$10,000,000	E SELECTION YES NO _X

A STATE A STATE A STATE A STATE A STATE A STATE ASSESSMENT A STATE ASSESSMENT	g decisions:	
A. TO AWARD A CONTRACT, SUBCONTRACT, MODE A CONTRACT OR SUBCONTRACT, TASK ORDER OR DELIVE OVER \$10,000,000	ER ORDER	NO_X
B. TO ESTABLISH OVERHEAD OR OTHER RATES API A CONTRACT OR CONTRACTS FOR A CONTRACTOR THAT OVER \$10,000,000	ARE VALUED	NO_X_
C. TO APPROVE ISSUANCE OF A CONTRACT PAYME OVER \$10,000,000 TO A CONTRACTOR		ENTS NO X
D. TO PAY OR SETTLE A CLAIM OVER \$10,000,000 WI	TH A CONTRA	
 If you answered "yes" to any part of questions 1 or 2, above, ident subcontract, modification, delivery order, or task order, identify the c explain. 		
had the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility or when you made the last decision for each contact that the responsibility of the responsibility or the responsibility or the responsibility or the responsibility of the responsibility or the responsibility	ntract/contracto	etitive
had the responsibility or when you made the last decision for each constant of the last decision	on-going comp	etitive
had the responsibility or when you made the last decision for each confidence of the second of the s	on-going comp YES	etitive NO X
4. For each "yes" that you answered for any part of 1 or 2, above, stathad the responsibility or when you made the last decision for each constant that has not yet been awarded? 5. Since 1 January 1997, have you or are you now participating in an procurement that has not yet been awarded? 6. If the answer to the above is "yes," do you intend to seek employ nor offerors? Section IV. REQUEST I request a written ethics opinion based on the information in this Que continuation sheets. I certify the information to be true and correct to and belief.	on-going comp YES nent with one of YES	etitive NO X The bidders NO X